

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF TRANSMISSION

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I hereby certify that this correspondence is being facsimile transmitted, under 37 CFR, Section 1.8, to the Commissioner of Patents and Trademarks.

ON: June 22, 2005

(Date)

NAME: John I. Connors

(Name of Person signing)

BY: [Signature]

(Signature)

CONFIRMATION COPY

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TO : Keith Hendricks

FAX NO. 571 273 1401

TO: : MAIN FAX

FAX NO. 703 872-9306

SERIAL NO. : 09/194,021

DOCKET NO. : 8956.3

As per your telephone conversations with my assistant, Beth Ellison about a month ago, transmitted herewith is the Transmittal Letter and Declaration. It has been corrected in 6 places with the correct PCT number: PCT/US98/06452. The applicant has initialed in each place and re-signed. I am also faxing to the above Main Fax number and mailing by 1st class mail.

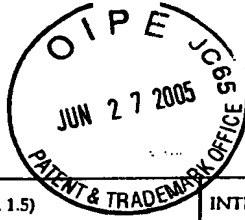
I understand that you will not be the Examiner for this application, and that the Examiner's name is Todd Monahan. Please forward this reply to Mr. Monahan as soon as possible.

In view of the fact that this application was filed on October 12, 1999, it would be greatly appreciated if you would expedite the processing of this application. PLEASE CALL ME AT 949 833-3622 IF I CAN DO ANYTHING FURTHER TO EXPEDITE THE PROCESSING OF THIS APPLICATION.

Please Confirm Receipt Of This Fax. Thank You.

TOTAL PAGES FAXED INCLUDING THIS COVER SHEET 8

THIS FAX CONTAINS PRIVILEGED & CONFIDENTIAL INFORMATION WHICH SHOULD NOT BE USED OR DISCLOSED WITHOUT APPROVAL. IF YOU RECEIVE THIS MESSAGE IN ERROR, PLEASE DESTROY FAX AND ANY COPIES. THANK YOU.



U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/US98/06542 06452	ATTORNEY'S DOCKET NO. 8956.3
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17. ☐ The following fees are submitted:

Basic National Fee (37CFR 1.492(a)(1)-(5):

Search report has been prepared by the EPO or JPO

\$ 610.00

International preliminary examination fee paid to USPTO (37CFR 1.482)

No international preliminary examination fee paid to USPTO (37 CFR 1.482)
but international search fee paid to USPTO (37CFR 1.445(a)(2))

Neither international preliminary examination fee (37 CFR 1.482) nor
international search fee (37CFR 1.445(a)(2)) paid to USPTO

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(2)-(4)

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 610.00

760.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from
the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0

Claims	Number Filed	Number Extra	Rate		
Total 29 Claims	29-20 =	9	x \$ 14.00	126.00	126.00
Independent Claims	5-3 =	2	x \$ 64.00	128.00	128.00
Multiple Dependent Claim(s) (if applicable)			+ \$ 210.00		

TOTAL OF ABOVE CALCULATIONS =

\$ 864.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be
filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$ 432.00

SUBTOTAL =

\$ 432.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 432.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by
an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED =

\$ 432.00

Amount to be

refunded:

Charged:

\$

\$

\$

- a. ☐ A check in the amount of \$432.00 to cover the above fee is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above
fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 03-2830. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))
must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Connors, John J.
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SIGNATURE

John J. Connors

24.157
REGISTRATION NUMBER



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6 : A45D 29/04		A1	(11) International Publication Number: WO 98/43513
			(43) International Publication Date: 8 October 1998 (08.10.98)
(21) International Application Number: PCT/US98/06452 CORRECT#		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 1 April 1998 (01.04.98)			
(30) Priority Data: 08/832,219 3 April 1997 (03.04.97) US 08/832,219 20 March 1998 (20.03.98) US			
(71)(72) Applicant and Inventor: RUDOLPH, James, M. [US/US]; 1150 Beacon Street, Brea, CA 92621 (US).			
(74) Agent: CONNORS, John, J.; Suite 220, 1600 Dove Street, Newport Beach, CA 92660 (US).		Published With international search report.	

COPY OF PCT APP.
SHOWING THE CORRECT NO.
NO NEED TO INITIAL.

(54) Title: FILE WITH SANITIZING AGENT & METHOD

(57) Abstract

A file (10) includes a support member (12) having an abrasive surface (14) treated with a sanitizing agent. The surface (14) or the interstices (18) between particles (16) of abrasive hold the sanitizing agent which is released with use of the file (10). The sanitizing agent is either in a liquid carrier or contained within microcapsule (20). The microcapsule (20) are frangible and break upon use of the file to release the sanitizing agent. The file surface (14) may comprise granular abrasive particulate (16) having a size ranging from 40 to 1200 grit which provided the interstices (18) between at least some of the particulate (16). An aqueous coating having dispersed therein an adhesive and the microcapsules (20) is applied to the granular particulate (16). The coating is dried to deposit in the interstices the microcapsules (20). The adhesive binds the microcapsules (20) to the granular particulate (16). When a mixture of sanitizing agent and liquid carrier is used, the abrasive surface (14) is coated with the mixture and then the liquid carrier is removed to coat the surface (14) with the sanitizing agent.





FORM PTO-1390
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

8956.3

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, sec. 37 C.F.R.1.5)

08/832,219

INTERNATIONAL APPLICATION NO.

PCT/US98/06542
06542

INTERNATIONAL FILING DATE

01 April 98

PRIORITY DATE CLAIMED

03 April 97

Title of Invention

FILE WITH SANITIZING AGENT AND METHOD

Applicant(s) for DO/EO/US

RUDOLPH, James M.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
7. ☐ Amendments to the claims of the international Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has **NOT EXPIRED**.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Item 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information.

(1) Verified Statement Claiming Small Entity Status, Independent Inventor